



(19)

Europäisches Patentamt  
European Patent Office  
Office européen des brevets

(11)

EP 0 982 041 A1

(12)

## EUROPEAN PATENT APPLICATION

(43) Date of publication:  
01.03.2000 Bulletin 2000/09

(51) Int. Cl.?: A61L 33/00, A61L 31/00,  
A61L 29/00

(21) Application number: 99116428.6

(22) Date of filing: 20.08.1999

(84) Designated Contracting States: AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU MC NL PT SE Designated Extension States: AL LT LV MK RO SI	, Shah, Chirag B. Nashua, New Hampshire 03060 (US) , Tedeschi, Gene Santa Rosa, California 95404 (US) , Wolfgang, Laurel L. Towsend, Massachusetts 01469 (US)
(30) Priority: 21.08.1998 US 138464	(74) Representative: Bauer, Friedrich et al Andrae Flach Haug Prinzregentenstrasse 24 83022 Rosenheim (DE)
(71) Applicant: Medtronic AVE, Inc. Santa Rosa, California 95403 (US)	
(72) Inventors:	

## (54) Thromboresistant coating using silanes or siloxanes

(57) Coatings are provided in which biopolymers may be covalently linked to a substrate. Such biopolymers include those that impart thromboresistance and/or biocompatibility to the substrate, which may be a medical device. Coatings disclosed herein include those that permit coating of a medical device in a

single layer, including coatings that permit applying the single layer without a primer. Suitable biopolymers include heparin complexes, and linkage may be provided by a silane having isocyanate functionality. Plasma deposition and solvent swelling techniques are described as preferred methods of depositing a derivatized silane or a silane-heparin coating.

E P 0 982 041 A1

**Description*****Background of The Invention*****5      1. Field of the Invention**

[0001] This application relates to the field of medical devices and more particularly to the field of thromboresistant coatings for medical devices.

**10     2. Description of Related Art**

[0002] Arteriosclerosis is a condition that detrimentally affects many individuals. Untreated, arteriosclerosis may lead to severe consequences, including heart damage, heart attack and death. Known treatments for arteriosclerosis have had limited success. Transluminal balloon angioplasty, wherein a balloon is inserted via a catheter into the artery of the patient and expanded, thereby simultaneously expanding the partially closed artery to a more open state, is a well-known treatment for arteriosclerosis, but long-term benefits of balloon angioplasty are limited by the problems of occlusion and restenosis, which result in re-closure of the artery.

[0003] A variety of intravascular stents and prostheses have been developed to support diseased arteries and thereby inhibit arterial closure after angioplasty. In particular, expandable intraluminal stents have been developed in which a catheter is used to implant a stent into the artery of the patient in a minimally invasive manner.

[0004] Like other foreign bodies placed into arteries, stents can result in coagulation or thrombosis in the intravascular environment. Thrombosis can inhibit blood flow through the stent, diminishing its effectiveness, or can cause clotting, which can threaten the life of the patient. Accordingly, methods of reducing thrombotic activity have been sought to reduce the negative side effects caused by certain stents.

[0005] A number of coatings have been developed for medical devices that are intended to promote compatibility between a particular medical device and the environment in which the medical device resides. Some of these coatings, known as thromboresistant coatings, are intended to reduce the thrombosis often associated with insertion of a foreign object, such as a medical device, into the interior of the body.

[0006] Heparin, or heparinic acid, arteven, or leparan, is a glycosaminoglycan with well-known anticoagulant activity. Heparin is biosynthesized and stored in mast cells of various animal tissues, particularly the liver, lung and gut. Heparin is known to have antithrombotic activity as a result of its ability to bind and activate antithrombin III, a plasma protein which inhibits several enzymes in the coagulation cascade. It has been hoped that heparin coatings, by inhibiting thrombogenesis, can improve the therapeutic outcomes derived from intra-vascular medical devices, such as stents.

[0007] However, known heparin coatings are subject to a number of defects, including incompatibility with the organism and/or microscopic features of the surface to be coated, excessive thickness, difficulty in application, and insufficient durability. For example, several known coatings are based upon simultaneous coulombic interactions between heparin and tri(dodecyl)methylammonium chloride, which is also referred to herein as heparin-TDMAC, and hydrophobic interactions between the quaternary ammonium ion of heparin-TDMAC and the surface of the device. Due to the relative weakness of hydrophobic interactions, such coatings typically leach away from the substrate to which they are applied within a few hours; coatings of this type, therefore, are not generally durable enough to provide beneficial therapeutic results.

[0008] Other known coatings comprise silanes having a pendent amino or vinyl functionality. In the fabrication of these coatings, a base layer of silane is applied initially to the surface, followed by the application to the base layer of a second layer comprising antithrombogenic biomolecules, such as heparin. It is necessary that the pendent groups of the base layer of silane be both complementary and accessible to groups on heparin. In some such coatings, a silane with terminal amino functionality is applied to a substrate to form a first layer, followed by application of heparin in solution to form the second layer. In certain examples of this strategy, the amino functionality of the silane base layer reacts with an aldehyde-containing heparin derivative to form a Schiff base and thereby covalently attach the biomolecule to the base layer. In another group of coatings of this general class, a base layer comprising a silane with a vinyl functional group is applied to a surface, followed by covalent attachment, via free radical chemistry, of a heparin-containing derivative to the base layer.

[0009] Some of the known coatings have been found lacking in bioeffectiveness and stability. Modifications made in these coatings utilize additional coatings of polymeric matrices comprising reactive functionalities. The multi-step process required to fabricate the polymeric matrices necessary in these approaches increases the thickness of the resulting coatings. Thick coatings present a number of difficulties. First, thick coatings increase the profile of the medical device in the intravascular environment. A stent with a thick profile, for example, can reduce blood flow, thereby undermining the therapeutic benefit of the stent. A thick coating may also render the coating itself more vulnerable to pitting, chipping, cracking, or peeling when the stent is flexed, crimped, expanded, or subjected to intravascular forces. Any of the foregoing results of excessively thick coatings may reduce the antithrombogenic characteristics of the stent. Moreover, the likelihood of pitting is hypothesized to be greater in thick coatings, and pits in a coating may increase the susceptibility to galvanic corrosion of the underlying surface. Because their fabrication requires additional steps, coatings comprising multiple layers may also be more difficult and expensive to manufacture.

[0010] Accordingly, a need exists for a thromboresistant coating that is thin, durable, and biocompatible, and

that maybe applied in a single coating.

**Summary of the Invention**

- [0011] Coatings are provided herein in which biopolymers may be covalently linked to a substrate. Such biopolymers include those that impart thromboresistance and/or biocompatibility to the substrate, which may be a medical device. Coatings disclosed herein include those that permit coating of a medical device in a single layer, including coatings that permit applying the single layer without a primer. It should be understood that it maybe advantageous in some circumstances to apply double layers of the coatings, such as to cover an area of a medical device that is used to hold the device while a first layer is applied. Thus, single, double and multiple layers of coatings are encompassed by the coatings disclosed herein.
- [0012] The coatings disclosed herein include those that use an adduct of heparin molecules to provide thromboresistance. The heparin molecules may comprise heparin-tri(dodecyl)methylammonium chloride complex. Uses of the term "heparin" herein should be understood to include heparin, as well as any other heparin complex, including heparin-tri(dodecyl)methylammonium chloride complex.
- [0013] The coatings described herein further include those that use a silane or siloxane to covalently link a biopolymer to a substrate. The coatings include those derived from silanes or siloxanes comprising isocyanate and other electrophilic functionality.
- [0014] The disclosed coatings include those that can be applied without a base or primer layer.
- [0015] Coatings are also included that provide a thin and durable coating wherein the thickness of said coating can be controlled by application of single or multiple layers.
- [0016] Coatings are provided wherein thromboresistance activity can be modified by choice of appropriate amounts of heparin-TDMAC complex and silane/siloxane.
- [0017] Thin, durable coatings are provided having controllable bioactivity.
- [0018] Single or multi-layer coatings disclosed herein are designed to impart thromboresistance and/or biocompatibility to a medical device. In one embodiment, the coating provides for covalent linking of heparin to the surface of the medical device.
- [0019] One costing formulation of the present invention initially consists of heparin-TDMAC complex, organic solvent and silane/siloxane. Wetting agents may be added to this formulation. A silane or siloxane is chosen that has an optional organic chain between the isocyanate (or other functionality) and silicon atom. The isocyanate functionality reacts with an amino or hydroxyl group on the heparin (or other biopolymer) molecule. After the reaction, the formulation contains covalent adducts of heparin and silane, in addition to organic solvent and other additives. Unreacted silane or heparin-TDMAC complex maybe present in the formulation, depending on the relative amounts of the reagents utilized.
- [0020] Once the coating formulation is applied to a device, the silane/siloxane end group of the adduct mentioned above adheres to the substrate surface, and a network, or film, containing heparin-TDMAC complexes is created on the surface of a substrate. Heparin molecules in the heparin-TDMAC complex are known to have anticoagulant properties. When exposed to blood, heparin molecules inactivate certain coagulation factors, thus preventing thrombus formation.
- [0021] The direct adherence of the silane/siloxane end group to the substrate means that the coating maybe applied to a wide range of medical device materials without the use of a base/primer layer. The covalent bond between the surface and the silicon of the silane/siloxane comprising the heparin-TDMAC complex provides superior durability compared to known coatings.
- [0022] The coating can be applied by dip coating, spray coating, painting, plasma deposition, solvent swelling or wiping. For covered devices, plasma deposition and solvent swelling are preferred modes.
- [0023] The coating can be thin and durable. The coating thickness can be controlled in a number of ways, e.g., by the application of single or multiple layers. Since the coating process described herein may be a one-step process, coating thickness is not increased as a result of the need to apply multiple layers, as in certain known coating methods.
- [0024] In one preferred aspect, very thin coatings of suitably derivatized silane or siloxane can be prepared using a plasma deposition process. Accordingly, it is a further aspect of the present invention to provide a medical device having a thromboresistant coating prepared by such a plasma deposition technique.
- [0025] In another preferred aspect using medical devices covered with a fluoropolymeric material, a silane or a silane-biopolymer is first dissolved in an appropriate organic solvent which, upon contact with the substrate, swells the substrate surface and carries the solute into the surface-modified substrate. Upon drying the solvent, the solute (either the silane or siloxane or the silane-biopolymer) remains embedded into the surface of the substrate thereby providing a thin coating layer with increased resistant to displacement, cracking or leaching. When the silane or siloxane alone, is embedded into the covering, further contact with a biopolymer creates the covalent thromboresistant complex. Accordingly, it is a further aspect of the present invention to provide a medical device having a thromboresistant coating prepared by a solvent swelling technique.
- [0026] The bioeffectiveness of the coatings can be controlled by selecting appropriate amounts of reactants. In particular, the thromboresistance activity of the coating can be controlled by modifying the amount of heparin-TDMAC complex in the coating.

*Detailed Description of the Preferred Embodiments*

- [0027] Single or multi-layer coatings are provided herein that are designed to impart thromboresistance and/or biocompatibility to a medical device. In an embodiment of the invention, the coating provides for the covalent linking of heparin molecules to a substrate.
- [0028] A heparin molecule is understood to contain a specific art-recognized pentasaccharide unit that displays antithrombogenic qualities. Covalent linkage of a heparin molecule to a surface is understood to affect at least one, but not all, of the hydroxyl and amino moieties comprised by that molecule; the covalently linked heparin, therefore, presents a thromboresistant surface to the environment surrounding the coated substrate. Different methods and formulations for covalently linking heparin to the surface may affect different sites on the heparin molecules, so that different formulations will provide different levels of anti-thrombogenicity.
- [0029] One coating formulation of the present invention initially consists of heparin-TDMAC complex, organic solvent and a silane or siloxane. Other biopolymers may be used in place of or in addition to heparin-TDMAC complex, and such biopolymers maybe covalently linked to a substrate according to the present invention. Such biopolymers may be those that provide thromboresistance, or those that have other desired bioactivity.
- [0030] The silane or siloxane provided may have functionality capable of reacting with a nucleophilic group, e.g., a hydroxyl or amino group. In particular, the silane may comprise isocyanate, isothiocyanate, ester, anhydride, acyl halide, alkyl halide, epoxide, or aziridine functionality. Preferably, the silane or siloxane comprises isocyanate functionality.
- [0031] The silane or siloxane comprising isocyanate functionality may be linked covalently to any biopolymer that provides anti-thrombogenicity. The selected biopolymer may be selected from a group of heparin complexes, including heparin-tridodecylmethylammonium chloride, heparin-benzalkonium chloride, heparin-steralkonium chloride, heparin-poly-N-vinyl-pyrrolidone, heparin-lecithin, heparin-didodecyldimethyl ammonium bromide, heparin-pyridinium chloride, and heparin-synthetic glycolipid complexes. The selected biopolymer may also be another biopolymer that has hydroxyl or amine functional groups that can react with the isocyanate functionality of the silane or siloxane.
- [0032] The selected biopolymer is preferably capable of dissolving in an organic solvent, as opposed to biopolymers that dissolve only in water. Solubility in organic solvents confers a number of advantages, e.g., elimination of water-mediated decomposition of the isocyanate-containing silane.
- [0033] In one preferred embodiment, the selected biopolymer is heparin-tri(dodecyl)methylammonium chloride complex.
- [0034] Wetting agents and other additives maybe added to the coatings described herein, to improve the adherence to the substrate, to improve the ease of adding the coatings to a substrate, or for other purposes. A variety of organic solvents may be used, including tetrahydrofuran (THF). Additives may include surface active agents, such as Triton.
- [0035] The selected silanes or siloxanes may have a linking group, such as an organic chain, between the isocyanate functionality, which covalently links to the heparin molecule, and an end group that is capable of linking to a substrate surface. The end group may link to pendant oxide-groups on the substrate surface; in some cases, the pendant oxide groups may be obtained by oxidation of the substrate. Preferred silanes and siloxanes include isocyanatopropyltriethoxysilane, hexamethydisiloxane, hexamethydisilane, tetramethyldisloxane, trimethyldisilane, trimethylsilane, hexamethyldisilizane.
- [0036] The bioactivity, including thromboresistance, of the disclosed coatings may be selectively modified by controlling the amounts of heparin-tridodecylmethylammonium chloride complex, silane or siloxane comprising isocyanate functionality, and organic solvent, as well as other constituents, to provide the desired thromboresistance. In an embodiment of the coatings, the concentration of the silane or siloxane in the formulation is between about one-half percent and about four percent. In an embodiment, the concentration of heparin-tridodecylmethylammonium chloride in the formulation is between about one-tenth percent and about four percent. One preferred coating is a solution with a formulation of silane or siloxane of about five-tenths percent and a formulation of the heparin-tridodecylmethyl-ammonium chloride complex of about two-tenths percent. In one such preferred solution, the organic solvent is tetrahydrofuran.
- [0037] Heparin molecules, including those in heparin-TDMAC complex are known to have anticoagulant properties. When exposed to blood, structural elements of heparin molecules inactivate certain coagulation factors, thus preventing thrombus formation.
- [0038] The coatings described herein may be applied in a single layer. The layer can be formed by reacting silane having isocyanate functionality with a heparin in an organic solvent to form a silane-heparin complex, which can be applied directly to a substrate, such as a metal substrate, in a single-layer coating that can be applied without a primer. The single layer can thus be made sufficiently thin to minimize the problems of peeling, cracking, and other problems that characterize some thicker coatings that require multiple layers, primers, or polymeric matrices for binding to the substrate. Thus, the layers may perform better under the mechanical crimping or expansion of a medical device, such as a stent, to which they are applied, and may perform better in the intravascular environment.
- [0039] The silane end groups of the monomer that yield the coatings react with oxides or hydroxyl groups on the surface of stainless steel. The stainless steel surface may be oxidized or cleaned and pre-treated, such as with sodium hydroxide, to increase the number of appropriate sites for linking the silane end groups.
- [0040] For covered medical device surfaces, such as those covered with a polymer like polytetrafluoroethylene

(PTFE) or expanded PTFE (TEFLON), fluorinated ethylene propylene, polytetrafluoroethylene-perfluoroalkyl vinyl ether copolymer, polyvinylchloride, polypropylene, polyethylene terephthalate, polyurethane, polyureas, fibrins, broad fluoride, silicone and other biocompatible materials, exposure to organic solvents can swell the surface in a "spongelike" manner. A PTFE substrate, or other cellular polymeric substrate, when exposed to a solution of a thromboresistant material, such as heparin-TDMAC, in a suitable organic solvent will swell to contain the solution. Upon drying and/or vacuum removal of the solvent, what is left behind is a substrate surface which contains heparin-TDMAC in an inwardly decreasing concentration gradient of an interpenetrating network.

[0041] Suitable solvents include alcohols such as 2-propanol, aromatics such as toluene or xylene, acetone, DMF, ethers such as THF, dimethylacetamide,  $\text{CH}_2\text{Cl}_2$ , hexane and Freon. It is also contemplated that mixtures or blends of solvents will be preferred based on the chemical and/or physical properties of the thromboresistant coating material or the covered medical device.

[0042] Bonding of the silane or siloxane to the covered substrate surface of the medical device can also be accomplished by plasma deposition. Plasma treatment is accomplished using a glow discharge ionization chamber, whereby the substrate is placed in the chamber and the chamber pressure is reduced to a minimal level, preferably below about 0.1 torr, using a vacuum pump. The silane or siloxane is then introduced into the chamber in gaseous form until the chamber pressure reaches a desired level, preferably about 0.3 torr, and then purged again to preferably below about 0.1 torr to reduce contamination from ambient gases. This purge-pump cycle may be repeated as many times as necessary. The final desired pressure of silane or siloxane is typically from about 0.1 torr to about 0.5 torr and most preferably about 0.3 torr. Radio frequency power, preferably from about 10 to about 100 watts, is then generated and applied to the gas in the chamber for a fixed period of time, preferably a period of about 10 to about 20 minutes.

[0043] It is necessary to maintain a balance of four factors during the plasma treatment of the substrate surface in order to insure sufficient bonding and to prevent unwanted side reactions at the silane: power (wattage); exposure time (reaction time); gas flow rate; and chamber pressure. Variation of one of these factors may cause adjustment of the other factors in order to achieve the desired result. The wattage should be from about 1 to about 700 watts, preferably less than about 200 watts and most preferably from about 5 to about 60 watts. The flow rate of the gas in the chamber should be about 0.1 to about 500 cubic centimeters per minute (cc/min). The chamber pressure during treatment should be from about 0.1 to about 100 torrs, preferably from about 0.1 to about 10 torrs and most preferably from about 0.1 to about 5 torrs. Exposure time of the substrate surface to the chamber atmosphere varies according to the surface material and is typically from about a few minutes or hours to about 24 hours. Preferably, at 60 watts, 0.1 torr and 0.3 cc/min, a time of about 10 minutes to about 1 hour is sufficient to coat the substrate.

[0044] A covered medical device in which a derivatized silane or siloxane has been plasma deposited can then be contacted with a biopolymer, such as heparin-TDMAC, under conditions in which the hydroxyl or amino groups of heparin react with an electrophilic functionality, such as an isocyanate, on the silane or siloxane. The resulting covalent attachment thereby providing the thromboresistant character to the medical device.

[0045] The plasma deposition aid solvent swelling processes of the present invention are suitable for medical devices covered with a layer of biocompatible material. Preferred biocompatible materials are fluoropolymers, most preferably PTFE and expanded PTFE (TEFLON), fluorinated ethylene propylene, polytetrafluoroethylene-perfluoroalkyl vinyl ether copolymer, polyvinylchloride, polypropylene, polyethylene terephthalate, polyurethane, polyureas, fibrin, broad fluoride, silicone and other biocompatible materials.

[0046] Most preferably, medical devices prepared with the plasma deposition and solvent swelling processes of the present invention are "expandable" or "self-expanding" stents such as those disclosed in U.S. Parent No. 5,928,279, which is herein incorporated by reference in its entirety. "Expandable" stents are typically formed of spring metal, shape memory alloy (e.g., nickel/titanium alloy), or other material which is resiliently biased toward its fully radially expanded configuration or otherwise capable of expanding to its fully expanded configuration without the need for exertion of extraneous forces. Such stents are initially mounted in a compressed configuration via a delivery catheter, and once delivered, are allowed to return to the expanded configuration in which the stent was initially formed. As used herein, the term "expandable stent" is meant to include self-expanding stents and stents which return to the expanded configuration by the application of temperature. Preferably, the expandable stents to be coated with the plasma deposition or solvent swelling techniques of the present invention are covered with a fluoropolymer.

[0047] To improve hydrolytic stability, non-functional silanes can be added to the formulations disclosed herein. Other silanes may be used to link to substrates, such as trihalosilanes, and silanes having methoxy and ethoxy groups. Silanes having triethoxy, trialkoxy, trichloro, and other groups may be provided to yield the covalent linkages present in the coatings disclosed herein. The non-functional silanes may be selected from the group consisting of chain alkyltrialkoxysilanes and phenyltrialkoxysilanes.

[0048] In an embodiment, the amount of functional silane is preferably selected to provide substantially complete coverage of the substrate surface; that is, it may be desirable to have the single layer cover all of the surface that would otherwise be exposed to the environment in which the substrate will be placed.

[0049] The adherence of the silane end group to the substrate means that the coating may be applied to a wide range of medical device materials without the use of base/primer layer. The covalent bond between the heparin-TDMAC complex and the substrate provides a thin and durable coating. The coating's thickness can be controlled, e.g. by choice of the length of the chain connecting the silane and isocyanate functionalities.

[0050] The bioeffectiveness and/or biosotivity of the thromboresistant coating can be controlled by selecting appropriate amounts of reactants. In particular, the thromboresistance activity of the coating can be modified by

modifying the amounts of heparin-TDMAC complex and silane in the coating.

[0051] Single layers have further advantages in that problems may arise in the extra steps required for the deposition of multiple layers. For example, dust or other particulates may appear between coatings in two-step processes. Also, application of a second layer may tend to reduce reactivity of the first layer in an unpredictable way.

5 [0052] Coatings of the present invention may be applied to medical devices that are placed in the body of a human, or that remain outside the body. Coated medical devices that are placed in the human body may include stents, catheters, prostheses and other devices. Coated medical devices that remain outside the human body may include tubing for the transport of blood and vessels for the storage of blood. Substrates or medical devices on which the coatings described herein may be applied can include a wide variety of materials, including stainless steel, nitinol, tantalum, glass, ceramics, nickel, titanium, aluminum and other materials suitable for manufacture of a medical device.

10 [0053] The coatings disclosed herein may further include a film-forming agent for the coating. The film-forming agents could slow any leaching of the biopolymer from the coating. The film forming-agent could be added in a second layer, or dissolved simultaneously with the silane and the biopolymer. Appropriate film-forming agents could include cellulose esters, polydialkyl siloxanes, polyurethanes, acrylic polymers or elastomers, as well as biodegradable polymers such as polylactic acid (PLA), polyglycolic acid (PGA), copolymers of PLA and PGA, known as PLGA, poly(e-caprolactone), and the like.

15 [0054] To create coatings of the present invention, the silanes and heparin complex are dissolved in a solvent, which may be an organic solvent. The solutions preferably should be substantially anhydrous, because water tends to react with isocyanate groups of the silane molecule. The water may be added after mixing the silane-isocyanate with heparin. In certain embodiments, the silane and heparin are combined in solution, the resulting solution is aged for about one day, the pH is adjusted with a weak acid, and then water is added to hydrolyze silane. The pH of the solution may be adjusted with aqueous acetic acid. Instead of adding water, it is possible to hydrolyze the silane groups by exposure to moist atmospheric conditions. It is desirable to mix the silane and heparin complex in a manner so as to include a slight excess of heparin molecules, so that all of the isocyanate is reacted, preventing adverse reactions between the isocyanate and any water. Moreover, it is desirable to have a single heparin react with each silane isocyanate functional group; this goal is most easily accomplished by starting with an excess of heparin.

20 [0055] Based on experimental results, it was found that, in certain embodiments, solutions of about two-tenths percent heparin complex and about five-tenths percent silane provided effective coatings. However, coatings in a fairly wide range may be effective. Thus, coatings are likely to have some effectiveness incases in which heparin complex is present in concentrations ranging from about one-tenth of a percent to about twenty percent. Coatings with heparin in concentrations of less than ten percent may be preferable in some formulations. Coatings with heparin in concentrations of less than five percent may be preferable in other formulations. Coatings may be expected to be effective in formulations in which silane is present in a wider range of concentrations as well, including concentrations ranging from about one-tenth of a percent silane to about twenty percent silane.

25 [0056] The thromboresistant characteristics of heparin coatings can be assessed qualitatively and quantitatively, so that methods can be developed that provide uniform coating with a desired amount of bioactivity. Successfully heparinized surfaces give a purple stain when exposed to toluidine blue. After coating, the surface is exposed to a saline solution for a number of days or weeks, and thromboresistance activity is measured as a function of time. Stents and coupons coated as disclosed herein were shown experimentally to display long-lived thromboresistant properties; bioactivity persisted for periods on the order of months, and it will probably endure much longer.

30 [0057] The heparin activity of a sample may be quantified based on its ability to inactivate thrombin. To quantify heparin activity in experimental assays, heparin may be first mixed with human antithrombin III, which binds to create a complex. The heparin-antithrombin III complex can then be mixed with thrombin to produce a ternary complex comprising heparin, thrombin, and antithrombin. The heparin then departs this complex and is free to react again with available antithrombin and thrombin to create additional thrombin-antithrombin complexes. Thus, heparin acts as a catalyst for the antithrombin-mediated deactivation of thrombin. The reaction of the active thrombin still left in the solution with a substrate produces a proportional amount of p-nitro aniline exhibiting color. Thus, an assay may be conducted for a spectrophotometric analysis of color, to determine the amount of thrombin left in solution. The more thrombin left in solution, the lower the bioactivity of the heparin. A low level of thrombin in solution indicates a high degree of catalysis of the thrombin-antithrombin reaction, which indicates a high level of thromboresistance provided by the heparin. A baseline comparison for the assay is the very slow reaction of thrombin-antithrombin in the absence of heparin. The results of the assay can be quantified using spectrophotometry. The assay mimics the reactions that occur in the human bloodstream, where thrombin and anti-thrombin circulate at all times. The reaction between antithrombin and thrombin in the body, which is catalyzed by the heparin of the coatings of the present invention, helps suppress the coagulation that results from thrombogenesis on a medical device.

35 [0058] Various methods of making coatings of the present invention are possible, and examples of such methods and certain resulting coatings are as follows. Such methods and coatings are disclosed by way of example, and are not intended to be limiting, as other examples may be readily envisioned by one of ordinary skill in the art. The following examples include methods of providing coatings of the present invention in a single layer, without the need for a primer layer, as well as methods of controlling the bioactivity of the resulting coating. In some instances, experimental results are provided showing sustained bioactivity for the particular coating.

40 [0059] Coatings can be applied in a wide variety of conventional ways, including painting, spraying, dipping, vapor deposition, epitaxial growth, plasma deposition, solvent swelling and other methods known to those of ordinary skill in the art.

## EP 0 982 041 A1

[0060] To test coatings disclosed herein; infrared scans were performed to demonstrate changes in the isocyanate functionality, through observation of the isocyanate peak (NCO, 2260 or 2270 cm<sup>-1</sup>) over time. Isocyanatosilane was formulated with different components, including heparin-tridodecylmethylammonium chloride complex(Heparin-TDMAC complex), tetrahydrofuran ("THF") and Triton (an optional, surface active agent) in solution to determine whether the intensity of the isocyanate peak changed over time. Table 1 shows the observation of the isocyanate functionality for different solution constituents:

5

Solution	Observation
1) Silane + THF	No change in peak with time
2) Silane + THF + TDMAC	No change in peak with time
3) Silane + THF + Triton	No change in peak with time
4) Silane + THF + Heparin-TDMAC complex	Peak disappears with time depending on the concentration of silane and heparin-TDMAC complex

15

The observation that the isocyanate peak disappears with time in the solution that includes silane, THF and Heparin-TDMAC complex suggests that a reaction occurs between functional groups on heparin and the isocyanate group of silane.

[0061] In embodiments of the present invention, the coating formulation contains the following constituents, which may vary in concentrations in different embodiments: Heparin-TDMAC complex, an organic solvent, such as THF, a silane, such as 3-isocyanatpropyl triethoxysilane ( $\text{OCN}-(\text{CH}_2)_3-\text{Si}(\text{OEt})_3$ ), and Triton (x-100). In a first embodiment, a solution of these constituents was mixed and allowed to sit in order to permit a reaction to occur. Allowing the solution to sit for one day allowed the reaction to occur, but shorter reaction times may well be effective. Before coating the substrate with the solution, the pH was adjusted. Solutions of the above constituents were adjusted to a pH between 4.5 and 5.5 using a solution of acetic acid and water. After adjusting pH, it is desirable to wait for a period of time, such as fifteen minutes, before applying the coating. Once the coating was applied, it was dried in air and cured in an oven. In particular, coatings of the above constituents were dried in air for about twenty minutes and then cured in an oven at eighty-five degrees Celsius for about one hour.

[0062] Coatings, derived from the above-described solutions, on coupons and stents were tested in various ways. First, as a qualitative test, coated coupons and stents were dipped in toluidine blue solution and then were screened for the presence of a purple stain. As mentioned above, the presence of a purple stain in this assay indicates the presence of heparin in the sample being assayed. Additionally, the intensity of the purple stain observed in this assay is proportional to the amount of heparin in the sample. Therefore, a comparison of the intensities of the purple stains produced in this assay by a set of samples allows an assignment of the relative amounts of heparin comprised by the coatings of those samples.

[0063] As a quantitative test for heparin activity, a heparin activity assay was conducted according to a conventional thrombin inhibition assay technique. The heparin assay permitted determination of the ability of the heparin coating to deactivate thrombin and thus to provide thromboresistance. The purpose of the protocol was to assay for heparin activity based on thrombin inhibition. A number of different reactions are understood to take place in order to determine heparin activity. In the first reaction:

40

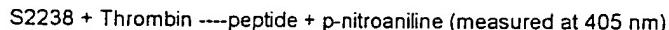


Heparin reacts with Human Antithrombin III ("ATIII") to yield a Heparin-Antithrombin III complex. In the second reaction:



45

the Heparin-Antithrombin complex reacts with Thrombin to yield a Heparin-Antithrombin-Thrombin complex. In the third reaction:



50

the amount of the thrombin was measured. As a result, the size of the p-nitroaniline peak measured at 405 nm is inversely proportional to the amount of heparin present.

#### Examples

[0064] The invention now being generally described, it will be more readily understood by reference to the following examples which are included merely for purposes of illustration of certain aspects and embodiments of the present invention, and are not intended to limit the invention.

#### General Procedures

[0065] In the following examples, heparin activity on coated coupons or stents was measured after exposing the coated object to a continuous flow of saline at thirty-seven degrees Celsius for a selected time period. Stainless steel coupons and stents were cleaned before coating. The coupons or stents were cleaned with several organic solvents, such as hexane and isopropanol, followed by rinsing with distilled water. The cleaning procedure was carried out in an ultrasonic bath for fifteen minutes. After this procedure, the coupons or stents were placed in sodium hydroxide solution (1.0 N) for fifteen minutes and then washed thoroughly with distilled water. Samples were air dried before coating.

[0066] It should be noted that thrombin inhibition assay techniques are notoriously subject to significant sample error; accordingly, it is not unusual to obtain variable experimental results for a given sample. The examples below identify results for multiple samples under a variety of conditions and thus indicate in the aggregate that the coatings described herein are likely to provide therapeutic levels of thromboresistance. However, results from any single formulation were found to vary somewhat depending on particular sample conditions. In cases where more than one set of data is provided for a given sample, the individual data sets reflect measurements taken at distinct positions on that sample; the data sets in these cases, therefore, do not necessarily reflect a lack of precision in the measurements.

#### Example 1

[0067] Stainless steel coupons were coated with a formulation of 1% heparin-TDMAC complex, 2% silane and 97% THF. The coupons were dipped once in the formulation, with a dwell time of five seconds at a coating speed of 10 in/min, to give a single layer of coating. Results are set forth in Table 2.

Table 2

Sample	Activity, mU/cm <sup>2</sup>	
	Unwashed	7 days wash
97-080-90C	<10	<10
97-080-90C	<15	<10
97-080-90D	<15	<5
97-080-90D	<15	<5

30

The coating showed toluidine blue stain before and after washing with water. The coating showed heparin activity after one week of exposure to saline.

#### Example 2

[0068] Stainless steel coupons were dipped once, at coating speeds of 10 in/min and 42 in/min and for a dwell time of five seconds, and resulting in single layer coatings of different thickness, in the following formulations: 1) 7% heparin-TDMAC complex, 2% silane and 91% THF and a small amount of Triton; and 2) 2% heparin-TDMAC complex, 2% silane and 96% THF and a small amount of Triton. Sample pieces were cut from coupons and were either washed or not washed before being measured under the indicated conditions after the indicated amounts of time. Results are set forth in Table 3:

Table 3

Sample	Activity, mU/cm <sup>2</sup>				
	1 day unwashed	2 days unwashed	1 day wash	2 days wash	7 days wash
97-100-9A	<50	<75	<15	<25	<25
97-100-9A	<50	<75	<15	<25	<25
97-100-9B	<50	<75	<25	<50	<50
97-100-9B	<75	<75	<15	<50	<10

50

A toluidine blue stain was present before and after washing, and the coupon showed heparin activity after seven days of washing. Combined with Example 1, the results showed that heparin activity can be varied using different coating formulations and coating processes.

55

#### Example 3

[0069] Stainless steel coupons were dipped once, at speeds of 10 in/min and 42 in/min, and for dwell times of

EP 0 982 041 A1

five seconds, two minutes and fifteen minutes, and resulting in coatings of different thickness, in the following formulations: 1) 7% heparin-TDMAC complex, 2% silane and 91% THF and a small amount of Triton; and 2) 2% heparin-TDMAC complex, 2% silane and 96% THF and a small amount of Triton. Results are shown in Table 4.

Table 4

Sample	Activity, mU/cm <sup>2</sup> å		
	1 day unwashed	1 day wash	7 days wash
97-100-15A	<150	<10	<5
97-100-15A	<100	<10	<10
97-100-15B	<50	<10	<25
97-100-15B	<25	<1	<25
97-100-15C	<75	<25	
97-100-15C	<100	<50	
97-100-15D	<150	<50	
97-100-15D	<150	<50	
97-100-15E	<150	<10	<10
97-100-15E	<150	<25	<25
97-100-15F	<150	<10	<25
97-100-15F	<200	<25	<25
97-100-15G	<150	<25	
97-100-15G	<150	<25	
97-100-15H	<150	<50	
97-100-15H	<150	<50	
97-100-15I	<200	<100	<50
97-100-15I	<200	<75	<75
97-100-15J	<200	<100	
97-100-15J	<250	<100	

5

10

15

20

25

30

35

Seven day results were for certain pieces measured at the one day point and then placed back into a flusher for additional days of washing. Toluidine blue stains were present before and after wash, with shades differing with thickness. Heparin activity was present after seven days of washing. In combination with Examples 1 and 2, this example demonstrated that heparin activity can be varied using different coating formulation and coating processes.

**Example 4**

[0070] Stainless steel coupons were dipped once, at speeds of 10 in/min for dwell times of one-half, one, two, five, ten and fifteen minutes, in the following formulation: 2% heparin-TDMAC complex, 2% silane, 96% THF and a small amount of Triton. Certain coupons were dipped into toluidine blue solution and rubbed under water. The coupons were then redipped in toluidine blue and checked for the presence of a stain. Results are shown in Table 5.

Table 5

Sample	Appearance	Toluidine blue stain before rub test	Toluidine blue stain after rub test
97-100-30A	Good coating, thin	Uniform, light	Uniform, light
97-100-30B	Good coating, thin	Uniform, light	Uniform, light
97-100-30C	Good coating, thin	Uniform, light	Uniform, light
97-100-30D	Good coating, thin	Uniform, light	Uniform, light
97-100-30E	Good coating, thin	Uniform, light	Uniform, light
97-100-30F	Good coating, thin	Dark gritty stain	Uniform, light, some peeling

55

[0071] A qualitative assessment of the effect of different solvents on coating was also performed, by dipping a coated sample in solvent for 60 seconds and then washing it with water and staining it with toluidine blue. Results are shown in Table 6.

## EP 0 982 041 A1

Table 6

Sample	Solvent	IPA	Toluene	Hot water (high pressure flow)	Hot water (high) pressure flow)	Acetone
5 97-100-30G	Good purple stain	No stain		Light stain	Light stain	Good stain

10 Heparin activity is displayed in Table 7.

Table 7

Sample	Activity, mU/cm <sup>2</sup> å	
	1 day unwashed	1 days wash
15 97-100-30A	<150	<25
97-100-30A	<150	<25
97-100-30B	<75	-
97-100-30B	<75	-
20 97-100-30C	<50	-
97-100-30C	<50	-
97-100-30D	<50	-
97-100-30D	<50	-
25 97-100-30E	<10	-
97-100-30E	<25	-
97-100-30F	<10	-
97-100-30F	<25	-
97-100-30G	<25	<25
30 97-100-30G	<25	<25

30

35 [0072] This example indicated that coating thickness maybe dependent on dwell time, that rubbing does not remove the coating as indicated by stains after rubbing, that washing with various solvents has a different effect on coating durability, and that heparin activity was present after washing. The example provided further evidence that heparin activity can be varied using different coating processes.

**Example 5**

40 [0073] Stainless steel coupons were dipped once, at speeds of 10 in/min, and for dwell times of two and fifteen minutes, in the following formulations: 1) 2% heparin-TDMAC complex, 4% silane and 94% THF and a small amount of Triton; 2) 2% heparin-TDMAC complex, 8% silane and 90% THF and a small amount of Triton; 3) 4% heparin-TDMAC complex, 4% silane and 92% THF and a small amount of Triton; and 4) Diluted 4% heparin-TDMAC complex, 4% silane and 92% THF and a small amount of Triton.

45 [0074] Coated coupons were dipped in toluidine blue solution and rubbed with fingers underwater, then redipped in toluidine blue and checked for stains. Results are displayed in Table 8. Heparin activity for this example is displayed in Table 9.

50 [0075] This example demonstrated that for thin coatings thickness is not strongly dependent on dwell time. Also, rubbing does not remove the coating, as indicated by stains after rubbing. Long term durability of the coating is evident from heparin activity results. Again, heparin activity can be varied using different coating formulation and processes.

Table 8

Sample	Appearance	Toluidine blue stain before rub test	Toluidine blue stain after rub test
55 97-100-36A (2 min)	Good coating	Uniform stain	Uniform
97-100-36A(15 min)	Good coating	Uniform stain	Uniform
97-100-36B(2 min)	Good coating	Uniform stain	Uniform
97-100-36B(15 min)	Good coating	Uniform stain	Uniform

## EP 0 982 041 A1

Sample	Appearance	Toluidine blue stain before rub test	Toluidine blue stain after rub test
97-100-36C (15 min)	Good coating	Very thick, gritty	Uniform, some peeling
97-100-36C (15 min)	Good coating	Very thick, gritty	Uniform, some peeling
97-100-36D (2 min)	Good coating	Uniform stain	Uniform
97-100-36D (15min)	Good coating	Uniform stain	Uniform, some peeling

5

10

Table 9

Sample	Coating (%/% heptdmac/silane)	Activity, mU/cm <sup>2</sup> à				
		1 day unwashed	30 days unwashed	1 day wash	30 days wash	87 days wash
97-100-36A(2 min dwell)	2.0/4.0	<150, <125	<50	<25, <25	<5	<1, <1
97-100-36B(2 min)	4.0/8.0	<25, <25	<25	<25, <25	<5	<1, <1
97-100-36C (2 min)	4.0/4.0	<175, <150	<150	<50, <25	<5	<1, <1
97-100-36C (2 min)	Diluted, 4.0/4.0	<50, <100	<150	<25, <25	<5	0, <1

15

20

25

**Example 6**

- [0076] Stainless steel coupons were dipped once, at speeds of 10 in/min and for a dwell time of two minutes, in the following formulation: 2% heparin-TDMAC complex, 2% silane and 96% THF and a small amount of Triton. The coupons were then either left unsterilized, or sterilized with ethylene oxide or gamma radiation.
- [0077] Results for non-sterile coupons are in Table 10.
- [0078] Results for ethylene oxide sterile coupons are in Table 11.
- [0079] Results for gamma radiation sterilized coupons are in Table 12.
- [0080] The resulting coatings were thin, with long term durability as evident by heparin activity results. Sterilization did not appear to affect coating properties, regardless of the sterilization mode.

30

35

Table 10

40

45

Sample	Coating (%/% heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à			
			unwashed 7 days	Unwashed 28 days	7 days wash	28 days wash
97-100-66A	2.0/2.0	Single	<125, <100	>10, >12	<10, <10	<2, <1
97-100-66E	2.0/2.0	Single	<100, <125	>10, >16	<10, <10	<1, 0

50

55

Table 11

Sample	Coating (%/% heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à			
			1 day unwashed	14 days unwashed	1 day	14 days
97-100-66A	2.0/2.0	Single	>12	>16, >16	<15	<2, <2
97-100-66E	2.0/2.0	Single	>12	>16, >16	<10	<3, <2

## EP 0 982 041 A1

Table 12

Sample	Coating (%/% heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> å					
			1 day unwashed	14 days unwashed	20 days unwashed	1 day wash	14 days wash	20 days
97-100-66A	2.0/2.0	Single	<200, <200	>16	>16	<20, <20	<1, <1	<1, <2
97-100-66E	2.0/2.0	Single	<200, <200	>16	>16	0,0	<2, <2	<2, <2

## Example 7

[0081] Stainless steel coupons were dipped once, dipped twice, or dipped, washed, and then dipped again, at coating speeds of 10 in/min and for dwell times of two minutes, in the following formulations: 1) 0.5% heparin-TDMAC complex, 0.5% silane, 99% THF & small amount of Triton; 2) 0.5% heparin-TDMAC complex, 2.0% silane, 97.5% THF & small amount of Triton; 3) 2.0% heparin-TDMAC complex, 0.5% silane, 97.5% THF & small amount of Triton; and 4) 2.0% heparin-TDMAC complex, 2.0% silane, 96% THF & small amount of Triton.

[0082] Heparin activity is shown in Table 13.

[0083] The resulting thin coatings demonstrated heparin activity, including light stains before and after rubbing. The long term durability of the coatings were evident through heparin activity results. Coating properties were variable according to different coating methods.

Table 13

Sample	Costing (%/% heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> å					
			12 days unwashed	18 days unwashed	12 day wash	18 day wash	26 day wash	72 day wash
97-100-69A	0.5/0.5	Single	>10	<175	0	<5	-	0
97-100-69B	0.5/0.5	Double	>10	<150	<2	<2	-	<1
97-100-69C	0.5/0.5	Dip/wash/Dip	>10	<125	<2	<2	<1	<1
97-100-69D	0.5/2.0	Single	<10	<75	<1	<5	-	<1
97-100-69E	0.5/2.0	Double	<5	<5	<1	<5	-	<1
97-100-69F	0.5/2.0	Dip/wash/Dip	<2	<5	<2	<5	<2	<1
97-100-69G	2.0/0.5	Single	-	<15	-	<5	-	<1, <1
97-100-69H	2.0/0.5	Double	-	<5	-	<5	-	<1, <1
97-100-69I	2.0/0.5	Dip/wash/Dip	-	<2	-	<5	<2, <2	0, <1
97-100-69J	2.0/2.0	Single	-	<150	-	<5	-	<1, <1

## EP 0 982 041 A1

	Sample	Coating (%/% heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à						
				12 days unwashed	18 days unwashed	12 day wash	18 day wash	26 day wash	72 day wash	
5	97-100-69K	2.0/2.0	Double	-	<200	-	<5	-	<1, <1	
	97-100-69K	2.0/2.0	Dip/wash/Dip	-	<250	-	<5	<3, <2	<1, <1	

10

## Example 8

[0084] Stainless steel coupons were dipped twice, or were dipped, washed, and dipped again, at speeds of 10 in/min and for dwell times of two minutes, in the following formulations: 1) 0.5% heparin-TDMAC complex, 0.5% silane, 99% THF; and 2) 0.5% heparin-TDMAC complex, 2.0% silane, 97.5% THF. The pH of the coatings was adjusted using acetic acid.

20 [0085] Heparin activity as shown in Table 14.

Table 14

Sample	Coating (%/% heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à		
			1 day unwashed	1 day	43 days
97-100-93A	0.5/0.5	Double	<75	<2	<2, <1
97-100-93B	0.5/0.5	Dip/wash/Dip	<50	<3	<1, <1
97-100-93C	0.5/2.0	Double	<50	<2	<2, <2
97-100-93D	0.5/2.0	Dip/wash/Dip	<1	<1	<2, <2

30

[0086] The resulting thin coatings demonstrated heparin activity, including light stains before and after rubbing. The long term durability of the coatings was evident through heparin activity results. Coating properties were variable according to different coating methods.

## Example 9

[0087] Stainless steel coupons and stainless steel stents were dipped twice, or were dipped, washed with saline and distilled water, and dipped again, at coating speeds of 10 in/min and for dwell times of two minutes. Coating pH was adjusted using hydrochloric acid. Coatings derived from the following formulations were prepared: 1) 0.5% heparin-TDMAC complex, 0.5% silane, 99% THF; and 2) 0.5% heparin-TDMAC complex, 2.0% silane, 97.5% THF.

[0088] Heparin activity is shown in Table 15.

[0089] Persistence of heparin activity after an increasing number of days suggests that most unattached heparin washes away immediately, but that attached heparin does not easily wash away even after prolonged exposure.

45 [0090] Activity on stents is disclosed in Table 16.

Table 15

Sample	Coating (% / % heptdmac/ silane)	Dip	Activity, mU/cm <sup>2</sup> à						
			1 day unwashed	11 days unwashed	1 day washed	11 days wash	25 days wash	43 days wash	
97-100-92A	0.5/0.5	Double	<25	<25, <25	<2	<1, <1	<1, <1, <5, <2, <2, <2	-	
97-100-92B	0.5/0.5	Dip/wash/Dip	<25	<10, <25	<2	<1, <1	<2, <2, <2, <2, <1, <2	-	

## EP 0 982 041 A1

Sample	Coating (% / % heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à					
			1 day unwashed	11 days unwashed	1 day washed	11 days wash	25 days wash	43 days wash
97-100-92D	0.5/2.0	Double	<10		<5	-	<5, <2	<1, <2
97-100-92E	0.5/2.0	Dip/wash/Dip	<25		<2	-	<2, <2	<1 <1

15

Table 16

Sample	Coating (% / % heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à	
			1 day unwashed	1 day
97-100-92C	0.5/0.5	Dip/wash/Dip	<125	<50
97-100-92F	0.5/2.0	Dip/wash/Dip	<50	<50

25

[0091] The resulting thin coatings showed light stains before and after rubbing. The coatings were durable as evident from heparin activity results. Coating properties were variable depending on different coating methods.

**Example 10**

30

[0092] Stainless steel coupons and stainless steel sterns were dipped, washed with IPA and dipped again, at coating speeds of 10 in/min and for a dwell time of two minutes, in the following formulations: 1) 0.1% heparin-TDMAC complex, 0.5% silane, 99.4% THF; and 2) 0.2% heparin-TDMAC complex, 0.5% silane, 99.3% THF.

[0093] Heparin activity on coupons is shown in Table 17.

Table 17

Sample	Coating (% / % heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à		
			2 days unwashed	2 days wash	34 days wash
97-101-25A, Red	0.1/0.5	Double	<25	<1	<2
97-101-25A, Red	0.1/0.5	Double	<25	<1	<2
97-101-25B, green	0.1/0.5	Dip/wash/dip	<75	0	<2
97-101-25B, green	0.1/0.5	Dip/wash/dip	<50	0	<2
97-101-25C, yellow	0.2/0.5	Double	<50	<1	<5
97-101-25C, yellow	0.2/0.5	Double	<25	<1	<5
97-101-25D, brown	0.2/0.5	Dip/wash/dip	<50	<1	<2
97-101-25D, brown	0.2/0.5	Dip/wash/dip	<25	<1	<2

55

[0094] Heparin activity on sterns is shown in Table 18

## EP 0 982 041 A1

Table 18

	Sample	Coating (% / % heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à		
				2 days unwashed	2 days wash	16 days wash
5	97-101-25A, Red	0.1/0.5	Double	<225	<5	<2
10	97-101-25A, Red	0.1/0.5	Double	<225	0	<3
15	97-101-25B, green	0.1/0.5	Dip/wash/dip	<125	<1	<2
20	97-101-25B, green	0.1/0.5	Dip/wash/dip	<100	0	<5
25	97-101-25C, yellow	0.2/0.5	Double	<200	<15	<3
30	97-101-25C, yellow	0.2/0.5	Double	<100	<5	<10
35	97-101-25D, brown	0.2/0.5	Dip/wash/dip	<200	<5	<10
40	97-101-25D, brown	0.2/0.5	Dip/wash/dip	<225	<10	<5

[0095] The resulting thin coatings showed light stains before and after rubbing. The coatings were durable as evident from heparin activity results. Coating properties were variable depending on different coating methods.

**Example 11**

[0096] Stainless steel stents were dipped once, at coating speeds of 10 in/min and for dwell times of five seconds and two minutes, in the following formulations: 1) 4.0% heparin-TDMAC complex, 8.0% silane, 88% THF, small amount of Triton; 2) 4.0% heparin-TDMAC complex, 4.0% silane, 92% THF, small amount of Triton; and 3) 2.0% heparin-TDMAC complex, 2.0% silane, 96% THF, small amount of Triton.

[0097] Heparin activity is shown in Table 19.

Table 19

Sample	Coating (% / % heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à	
			Unwashed	3/4 days
97-100-50A	4/8	Single	<175	<50
97-101-50B	4/4	Single	<150	<125
97-100-54B	2/2	Single	<225	<25 (4 days)

Again, coating properties varied using different coating methods.

**Example 12:**

[0098] Stainless steel stents were dipped twice, at coating speeds of 10 in/min and at a dwell time of two minutes, in the following formulations: 1) 0.2% heparin-TDMAC complex, 0.5% silane; 2) 0.5% heparin-TDMAC complex, 0.5% silane; 3) 0.5% heparin-TDMAC complex, 1.0% silane; 4) 1.0% heparin-TDMAC complex, 1.0% silane; and 5) 1.0% heparin-TDMAC complex, 2.0% silane. Stents were either left unsterilized or were sterilized with gamma radiation.

[0099] Table 20 shows results for non-sterile stents.

Table 20

Sample #	Coating (% / % heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à	
			4 days unwashed	4 days
97-101-86A	0.2/0.5	Double	<100	<1
97-101-86A	0.2/0.5	Double	<125	<1
97-101-86B	1.0/2.0	Double	<200	<10

## EP 0 982 041 A1

Sample #	Coating (% / % heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à	
			4 days unwashed	4 days
97-101-86B	1.0/2.0	Double	<225	<5
97-101-86C	1.0/1.0	Double	<225	<5
97-101-86C	1.0/1.0	Double	<225	<5
97-101-86D	0.5/1.0	Double	<200	<5
97-101-86D	0.5/1.0	Double	<225	<5
97-101-86E	0.5/0.5	Double	<225	<5
97-101-86E	0.5/0.5	Double	<200	<5
97-101-86F	0.5/1.0 Sutton	Double	<125	<1
97-101-86F	0.5/1.0 Sutton	Double	<125	<5

5

10

15

[0100] Table 21 shows activity for sterile stents.

20

25

30

35

Table 21

Sample #	Coating (% / % heptdmac/silane)	Dip	Activity, mU/cm <sup>2</sup> à	
			4 days unwashed	4 days
97-101-86A	0.2/0.5	Double	>200	<1
97-101-86A	0.2/0.5	Double	>200	<5
97-101-86B	1.0/2.0	Double	>200	<10
97-101-86B	1.0/2.0	Double	>200	<5
97-101-86C	1.0/1.0	Double	>200	<10
97-101-86C	1.0/1.0	Double	>200	<10
97-101-86D	0.5/1.0	Double	>200	<5
97-101-86D	0.5/1.0	Double	>200	<5
97-101-86E	0.5/0.5	Double	>200	<5
97-101-86E	0.5/0.5	Double	>200	<5
97-101-86F	0.5/1.0 Sutton	Double	>200	<5
97-101-86F	0.5/1.0 Sutton	Double	>200	<5

40

Sterilization showed no effect on coating properties. The coatings were durable on stents, as evident by heparin activity after several days of washing.

**Example 13**

[0101] Several coupons and stents were coated with 0.2% heparin-TDMAC complex, 0.5% silane and 99.3% THF. These pieces were sterilized by gamma radiation and sent to NAMSA for biocompatibility testing. Three tests, Hemolysis, Cytotoxicity and Thromboresistance, were conducted. The coating passed all three tests.

[0102] In addition to the foregoing examples, various other methods and coatings may be envisioned in the spirit of the present disclosure. For example, heparin might be covalently linked to a substrate with a silane identified as capable of being soaked into a stainless steel surface. The silane compound could have amino or epoxy terminal groups. The silane could thus be used to link heparin molecules to the substrate in a manner similar to the silane of isocyanate functionality disclosed herein. Heparin could then be prepared with an aldehyde positive group that mixed with an NH<sub>2</sub> group to provide an end linkable to heparin without affecting its activity. The procedure to make degraded heparin is well known to those of ordinary skill in the art.

[0103] A coating system may also be provided in which heparin can be covalently linked or can be incorporated into a matrix to obtain variable rate of elution. A silicon fluid, such as Dow Corning MDX 4-4159 is used, with the active silicon being an amino functional polydimethyl siloxane copolymer. The coating maybe used to coat stainless steel guide wires. This working can be utilized for heparin covalent-bonding as described below.

[0104] First, a solution of heparin (deaminated) in water or other solvent may be provided. A wire coated with a silicon fluid in a solvent may be placed in the solution for some time, for example two hours. The heparin has an aldehyde group that can link to the amino functionality in the silicon copolymer. Other amino functionalized silicon

polymers, or copolymers, can be used to achieve covalent bonding of heparin to the substrate.

[0105] Having now fully described this invention, it will be understood to those of ordinary skill in the art that the same can be performed within a wide and equivalent range of conditions, formulations, and other parameters without affecting the scope of the invention or any embodiment thereof. All patents and publications cited herein are fully incorporated by reference herein in their entirety.

5

### Claims

1. A process for coating a medical device with a silane or siloxane having at least one functional group selected from the group consisting of an isocyanate, an isothiocyanate, an ester, an anhydride, an acyl halide, an alkyl halide, an epoxide and an aziridine, comprising plasma depositing said silane or said siloxane.
2. The process of claim 1, further comprising contacting said silane-coated or siloxane-coated medical device with a biopolymer.
3. The process of claim 2, wherein said biopolymer is capable of forming a covalent bond with said silane or said siloxane.
4. The process of claim 2, wherein said biopolymer provides thromboresistance.
5. The process of claim 2, wherein said biopolymer is derived from the group consisting of heparin-tridodecylmethylammonium chloride, heparin-benzalkonium chloride, heparin-steralkonium chloride, heparin-poly-N-vinyl-pyrrolidone, heparin-lecithin, heparin-didodecyldimethylammonium bromide, heparin-pyridinium chloride, and heparin-synthetic glycolipid complex.
6. The process of claim 5, wherein said biopolymer is derived from heparin-tridodecylmethylammonium chloride.
7. The process of claim 1, wherein said functional group is an isocyanate.
8. The process of claim 7, further comprising contacting said silane-coated or siloxane-coated medical device with a biopolymer.
9. The process of claim 8, wherein said biopolymer provides thromboresistance.
10. The process of claim 9, wherein said biopolymer is derived from the group consisting of heparin-tridodecylmethylammonium chloride, heparin-benzalkonium chloride, heparin-steralkonium chloride, heparin-poly-N-vinyl-pyrrolidone, heparin-lecithin, heparin-didodecyldimethylammonium bromide, heparin-pyridinium chloride, and heparin-synthetic glycolipid complex.
11. The process of claim 10, wherein said biopolymer is derived from heparin-tridodecylmethylammonium chloride.
12. The process of claim 1, wherein said medical device comprises a material selected from the group consisting of stainless steel, nitinol, tantalum, glass, ceramic, nickel, titanium and aluminum.
13. The process of claim 12, wherein said medical device further comprises a polymer covering.
14. The process of claim 13, wherein said polymer is selected from the group consisting of polytetrafluoroethylene and expanded polytetrafluoroethylene, fluorinated ethylene propylene, polytetrafluoroethylene-perfluoroalkyl vinyl ether copolymer, polyvinylchloride, polypropylene, polyethylene terephthalate, polyurethane, polyureas, fibrins, silicone and broad fluoride.
15. The process of claim 14, further comprising contacting said silane-coated or siloxane-coated medical device with a biopolymer.
16. The process of claim 15, wherein said biopolymer provides thromboresistance.
17. The process of claim 16, wherein said biopolymer is derived from the group consisting of heparin-tridodecylmethylammonium chloride, heparin-benzalkonium chloride, heparin-steralkonium chloride, heparin-poly-N-vinyl-pyrrolidone, heparin-lecithin, heparin-didodecyldimethylammonium bromide, heparin-pyridinium chloride, and heparin-synthetic glycolipid complex.
18. The process of claim 17, wherein said biopolymers derived from heparin-tridodecylmethylammonium chloride.
19. The process of claim 1, wherein said medical device is selected from the group consisting of a stent, a catheter and a prosthesis.
20. The process of claim 19, wherein said stent is an expandable stent.

## 21. A coated medical device, comprising:

- 5 a plasma-deposited coating of a silane or a siloxane either having at least one functional group selected from the group consisting of an isocyanate, an isothiocyanate, an ester, an anhydride, an acyl halide, an alkyl halide, an epoxide and an aziridine.
- 10 22. The coated medical device of claim 21, wherein said functional group is an isocyanate.
- 10 23. The coated medical device of claim 21, further comprising a biopolymer covalently linked to said silane or said siloxane.
- 15 24. The coated medical device of claim 23, wherein said biopolymer provides thromboresistance.
- 15 25. The coated medical device of claim 24, wherein said biopolymer is derived from heparin.
- 15 26. The coated medical device of claim 23, wherein the biopolymer comprises an adduct of heparin molecules.
- 20 27. The coated medical device of claim 25, wherein said biopolymer is derived from a complex selected from the group consisting of heparin-tridodecylmethylammonium chloride; heparin-benzalkonium chloride, heparin-steralkonium chloride, heparin-poly-N-vinyl-pyrrolidone, heparin-lecithin, heparin-didodecyldimethylammonium bromide, heparin-pyridinium chloride, and heparin-synthetic glycolipid complex.
- 25 28. The coated medical device of claim 27, wherein the biopolymer is derived from heparin-tridodecylmethylammonium chloride.
- 25 29. The coated medical device of claim 21, wherein said silane or said siloxane further comprises a linking group between the silicon atom and said functional group.
- 30 30. The coated medical device of claim 21, wherein said device is selected from the group consisting of a stent, a catheter and a prosthesis.
- 30 31. The coated medical device of claim 30, wherein said stent is an expandable stent.
- 35 32. The coated medical device of claim 21, wherein said medical device comprises a material selected from the group consisting of stainless steel, nitinol, tantalum, glass, ceramic, nickel, titanium and aluminum.
- 35 33. The coated medical device of claim 32, wherein said medical device further comprises a polymer covering.
- 35 34. The coated medical device of claim 33, wherein said polymer is selected from the group consisting of polytetrafluoroethylene and expanded polytetrafluoroethylene, fluorinated ethylene propylene, polytetrafluoroethylene-perfluoroalkyl vinyl ether copolymer, polyvinylchloride, polypropylene, polyethylene terephthalate, polyurethane, fibrins, silicone and broad fluoride.
- 40 35. In a medical device having a silane or siloxane coating covalently bound to a thromboresistant biopolymer; the improvement comprising: a plasma-deposited silane or plasma-deposited siloxane, either having a functional group selected from the group consisting of an isocyanate, an isothiocyanate, an ester, an anhydride, an acyl halide, an alkyl halide, an epoxide and an aziridine, which has been reacted with a biopolymer to form a covalent attachment.
- 45 36. A thromboresistant stent, comprising a silane or siloxane, either having a functional group selected from the group consisting of an isocyanate, an isothiocyanate, an ester, an anhydride, an acyl halide, an alkyl halide, an epoxide and an aziridine, which has been reacted with a biopolymer to form a covalent attachment, produced by the process of claim 11 or claim 20.
- 50 37. A process for coating a medical device with a thromboresistant material, comprising:
- (a) dissolving a silane or a siloxane either of which having a functional group selected from the group consisting of an isocyanate, an isothiocyanate, an ester, an anhydride, an acyl halide, an alkyl halide, an epoxide and an aziridine in a solvent to form a solution;
- 55 (b) exposing a medical device having a polymeric covering to said solution to at least partially penetrate said polymeric covering with said silane or said siloxane;
- (c) removing said solvent, and

- (d) contacting said at least partially penetrated silane or said at least partially penetrated siloxane with a thromboresistant biopolymer having at least one amino or hydroxyl functionality.
- 5       38. The process of claim 37, wherein said solvent is selected from the group consisting of 2-propanol, toluene, xylenes, acetone, DMF, THF, dimethylacetamide,  $\text{CH}_2\text{Cl}_2$  and Freon, or a mixture or blend thereof.
- 10      39. The process of claim 37, wherein said polymeric coating is selected from the group consisting of polytetrafluoroethylene expanded polytetrafluoroethylene, fluorinated ethylene propylene, polytetrafluoroethylene-perfluoroalkyl vinyl ether copolymer, polyvinylchloride, polypropylene, polyethylene terephthalate, polyurethane, polyureas, fibrins, silicone and broad fluoride.
- 15      40. A process for coating a medical device with a thromboresistant material, comprising:
- (a) contacting a silane or a siloxane either of which having a functional group selected from the group consisting of an isocyanate, an isothiocyanate, an ester, an anhydride, an acyl halide, an alkyl halide, an epoxide and an aziridine with a thromboresistant biopolymer having an amino or hydroxyl functional group to form said thromboresistant material;
  - (b) dissolving said thromboresistant material in a solvent to form a solution;
  - (c) exposing a medical device having a polymeric covering to said solution to penetrate said polymeric covering with said thromboresistant material; and
  - (d) removing said solvent.
- 25      41. The process of claim 40, wherein said solvent is selected from the group consisting of 2-propanol, toluene, xylenes, acetone, DMF, THF, dimethylacetamide,  $\text{CH}_2\text{Cl}_2$  and Freon, or a mixture or blend thereof.
- 30      42. The process of claim 40, wherein said polymeric coating is selected from the group consisting of polytetrafluoroethylene, expanded polytetrafluoroethylene, fluorinated ethylene propylene, polytetrafluoroethylene-perfluoroalkyl vinyl ether copolymer, polyvinylchloride, polypropylene, polyethylene terephthalate, polyurethane, polyureas, fibrins, silicone and broad fluoride.
- 35      43. A coated medical device, comprising a silane or siloxane, either having a functional group selected from the group consisting of an isocyanate, an isothiocyanate, an ester, an anhydride, an acyl halide, an alkyl halide, an epoxide and an aziridine, which has been reacted with a biopolymer to form a covalent attachment, produced by the process of claim 40 or claim 37.
- 40      44. The coated medical device of claim 43, which is a stent, a catheter or a prosthesis.
- 45      45. The coated medical device of claim 44, which is a stent.
46. The coated medical device of claim 45, which is an expandable stent.

45

50

55



DOCUMENTS CONSIDERED TO BE RELEVANT									
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)						
Y	EP 0 592 870 A (BARD INC C R) 20 April 1994 (1994-04-20)  * abstract * * column 1 - column 2 * * column 9, line 20-36 * * column 10, line 24-40 * * column 11, line 5-38 * * column 13, line 13 * * claim 16; example 8 *	1-4, 7-9, 20-26, 35-37, 39, 40, 42-46	A61L33/00 A61L31/00 A61L29/00						
Y	US 5 026 607 A (KIEZULAS MARGARET P) 25 June 1991 (1991-06-25)  * abstract * * column 1, line 57 - column 2, line 34 * * column 4, line 35-47 * * column 5, line 6-22 * ---	1-4, 7-9, 20-26, 35-37, 39, 40, 42-46	TECHNICAL FIELDS SEARCHED (Int.Cl.7)						
A	EP 0 338 418 A (BECTON DICKINSON CO) 25 October 1989 (1989-10-25)  * abstract * * page 2, line 13-16 * * page 3, line 10-36 * * page 4 * * page 5, line 37-41 *	1-5, 12-16, 19, 37-39	A61L						
A	WO 98 02197 A (COOPER ROBIN PETER) 22 January 1998 (1998-01-22) * abstract * * page 5, line 1 * ---	1, 2, 4, 12, 13, 19							
<p>The present search report has been drawn up for all claims</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left; width: 33%;">Place of search</th> <th style="text-align: left; width: 33%;">Date of completion of the search</th> <th style="text-align: left; width: 33%;">Examiner</th> </tr> <tr> <td style="text-align: center;">THE HAGUE</td> <td style="text-align: center;">2 December 1999</td> <td style="text-align: center;">Böhm, I</td> </tr> </table>				Place of search	Date of completion of the search	Examiner	THE HAGUE	2 December 1999	Böhm, I
Place of search	Date of completion of the search	Examiner							
THE HAGUE	2 December 1999	Böhm, I							
CATEGORY OF CITED DOCUMENTS		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons S : member of the same patent family, corresponding document							
X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document									



European Patent  
Office

## EUROPEAN SEARCH REPORT

**Application Number**

EP 99 11 6428

DOCUMENTS CONSIDERED TO BE RELEVANT		
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim
A	US 5 417 969 A (HSU LI-CHIEN ET AL) 23 May 1995 (1995-05-23) * abstract * * column 3, line 38 - column 4, line 50 * * column 6, line 40-53 * * column 7, line 30-36; example 1 * ---	1,2,4-6, 21,29,41
A	US 5 541 167 A (HSU LI-CHIEN ET AL) 30 July 1996 (1996-07-30) * abstract * * column 2, line 15-20,35-65 * * claims * ---	1-6,37, 38
A	WO 98 08551 A (MEDTRONIC INC) 5 March 1998 (1998-03-05) * abstract * * page 4, line 15-25 * * page 5, line 5-30 * * page 6, line 2,3,21 * ----	1-4,12, 19
TECHNICAL FIELDS SEARCHED (Int.Cl.7)		
The present search report has been drawn up for all claims		
Place of search	Date of completion of the search	Examiner
THE HAGUE	2 December 1999	Böhm, I
CATEGORY OF CITED DOCUMENTS		
X	particularly relevant if taken alone	
Y	particularly relevant if combined with another document of the same category	
A	technological background	
O	non-written disclosure	
P	intermediate document	
T	theory or principle underlying the invention	
E	earlier patent document, but published on, or after the filing date	
D	document cited in the application	
L	document cited for other reasons	
&	& member of the same patent family, corresponding document	

**EP 0 982 041 A1**  
**ANNEX TO THE EUROPEAN SEARCH REPORT**  
**ON EUROPEAN PATENT APPLICATION NO.**

EP 99 11 6428

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.  
The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

02-12-1999

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
EP 0592870	A	20-04-1994		CA 2107271 A JP 6197978 A		31-03-1994 19-07-1994
US 5026607	A	25-06-1991		AU 5713590 A CA 2019636 A CN 1048875 A JP 3051052 A NZ 234127 A US 5272012 A		03-01-1991 23-12-1990 30-01-1991 05-03-1991 28-10-1992 21-12-1993
EP 0338418	A	25-10-1989		US 5013717 A AT 110285 T CA 1339091 A DE 68917631 D DE 68917631 T ES 2056999 T JP 1874544 C JP 2147694 A JP 5087120 B US 5061738 A		07-05-1991 15-09-1994 29-07-1997 29-09-1994 09-02-1995 16-10-1994 26-09-1994 06-06-1990 15-12-1993 29-10-1991
WO 9802197	A	22-01-1998		AU 3626897 A GB 2330361 A, B		09-02-1998 21-04-1999
US 5417969	A	23-05-1995		WO 9305825 A		01-04-1993
US 5541167	A	30-07-1996		CA 2109960 A DE 69201193 D DE 69201193 T EP 0588895 A JP 2858045 B JP 6507808 T WO 9221387 A		10-12-1992 23-02-1995 07-09-1995 30-03-1994 17-02-1999 08-09-1994 10-12-1992
WO 9808551	A	05-03-1998		AU 6959296 A		19-03-1998

EPO FORM P0459  
For more details about this annex : see Official Journal of the European Patent Office, No. 12/82